

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Steve Kangas

Application No.:

10/658729

Filed:

September 9, 2003

For:

Hydrophilic Coating Containing an Oxygen

Insensitive Crosslinker

Group Art Unit:

Not Assigned

Mail Stop _____ Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Docket No.: S63.2B-10814-US01

TRANSMITTAL LETTER

1. In regard to the above-identified application, in addition to this 2 page transmittal letter, we are submitting the attached:

4 pg Information Disclosure Statement; 2 pg List of References; and Postcard.

- 2. With respect to fees:
 - No additional fee is required.
 - ☐ Attached is check(s) in the amount of \$
 - □ Charge additional fee to our Deposit Account No. 22-0350.

3. CONDITIONAL PETITION FOR EXTENSION OF TIME

This conditional petition is being filed along with the papers identified in Item 1 above and provides for the possibility that Applicant has inadvertently overlooked the need for a petition and fee for extension of time or for a petition and fee for any other matter petitionable to the Commissioner as required. If any extension of time for the accompanying response is required or if a petition for any other matter is required, by petitioner, Applicant requests that this be considered a petition therefore.

4. Notwithstanding paragraph 2 above, if any additional fees associated with this communication are required and have not otherwise been paid, including any fee associated with the Conditional Petition for Extension of Time, or any request in the accompanying papers for action which requires a fee as a petition to the Commissioner, please charge the additional fees to Deposit Account No. 22-0350. Please charge any additional fees or credit overpayment associated with this communication to the Deposit Account No. 22-0350.

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

Date: November 2/__, 2003

Lisa R. Lindquist

Registration No.: 43071

6109 Blue Circle Drive, Suite 2000

Minnetonka, MN 55343-9185 Telephone: (952) 563-3000 Facsimile: (952) 563-3001

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Certificate Under 37 CFR 1.8: I hereby certify that this Transmittal Letter and the paper(s) as described herein, are being deposited in the U.S. Postal Service, as FIRST CLASS MAIL, addressed to Mail Stop _____, Commissioner for Patent, P.O. Box 1450, Alexandria, VA 22313-1450, on November 21, 2003.

Mindy Pilarsk



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INFORMATION DISCLOSURE STATEMENT

Listed below or on an attached form listing the cited references and/or a copy of a PTO-892 form is information known to applicant(s). A copy of each listed publication, U.S. patent, foreign patent and U.S. patent application is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98, except that U.S. applications from which priority is claimed under 35 U.S.C. §120, and documents cited in such priority applications, may be omitted from the enclosures pursuant to 37 C.F.R. 1.98(d). Applicant's submission of copies of U.S. applications does not constitute a waiver of the confidentiality of such applications. As such, Applicant requests that any copies of unpublished US applications submitted herewith be excluded from the file wrapper pursuant to 37 C.F.R. §1.14.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If the form listing the cited references or PTO-892 from a prior application is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

Applications that are listed on the accompanying form listing the cited references as related by priority are related by priority claim under 35 USC §120. Pursuant to 37 CFR §1.98(d), no copies of cited art in a previous application(s) to which priority was claimed need be submitted. Applicant is providing copies of the form listing the cited references and/or 892 forms from these cases.

	_ I. This state	ment qualifies as a no-fee Information Disclosure Statement under 37 C.F.R.						
§1.97	7(b) or otherwis	se because to the knowledge of the undersigned attorney it is being filed						
(chec	ck all that apply	r):						
	(1)	within 3 months of the filing date of the application (other than a CPA); or						
	(2)	within 3 months of entry of the national stage; or						
	(3)	before the mailing of a first Office Action on the merits;						
	(4)	before the mailing of a first Office Action after the filing of a request for						
		continued examination (RCE) under §1.114;						
	(5)	as part of a continued prosecution application (CPA); or						
	(6)	during the period of a suspension of action for a CPA under 37 C.F.R.						
		§1.103(b).						
	_ II. This stat	ement is believed to require a fee or the submission of a certification under						
	37 C.F.R. §1	.97 (c) or otherwise. If this statement is being filed after the latest of: (1)						
	three months	s beyond the filing date of a national application (other than CPA); (2) three						
	months beyo	and the date of entry of the national stage as set forth in §1.491 in an						
	international	application; (3) the mailing of a first Office Action on the merits; (4) the						
	mailing of a	first Office Action after the filing of a request for continued examination						
	under §1.114; or (5) after the filing of a request for a continued prosecution application, but before the mailing date of the earlier of a final office action under §1.113, a notice of							
	allowance ur	nder §1.311 or an action that otherwise closes prosecution in the application,						
	then:							
	(1)	a certification as specified in §1.97(e) is provided below; or						
	(2)	a fee of \$180.00 as set forth in \$1.17(p) is authorized below, enclosed, or						
		included with the payment of other papers filed together with this						
		statement.						

to constitute a foreign patent office.

	III. 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the								
	earlier of a final office action under §1.113, a notice of allowance under §1.311, of								
action that otherwise closes prosecution in the application, but before payment									
	issue fee, then:								
	(1) a certification as specified in §1.97(e) is completed below; and								
	(2) a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or								
	included with payment of other papers filed together with this statement.								
<u>X</u>	IV. Fee Authorization. If any fee is due for consideration of this Information Disclosure								
	Statement and full payment has not been submitted herewith, regardless of which boxes								
	have been checked above, the Commissioner is hereby authorized to charge any								
additional fees associated with this communication to Deposit Account No. 22									
	The Commissioner is hereby authorized to credit any overpayment associated with this								
	communication to Deposit Account No. 22-0350.								
If para	graph II.1 or III is checked, also check one of the paragraphs below								
	I hereby certify, under 37 CFR §1.97(e)(1), that each item of information contained in								
	this Information Disclosure Statement was first cited in a communication from a foreign								
	patent office in a counterpart foreign application not more than three months prior to the								
	date of the filing of this information disclosure statement.								
	This communication was not received by any individual designated in §								
	1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.								
	I hereby certify, under 37 CFR §1.97(e)(2), that no item of information contained in the								
	information disclosure statement was cited in a communication from a foreign patent								
	office in a counterpart foreign application, and to the knowledge of the person signing the								
	statement after making reasonable inquiry, no item of information contained in the								
	information disclosure statement was known to any individual designated in 1.56(c) more								
	than three months prior to the filing of the Information Disclosure Statement.								
For the	e purpose of this certification, Applicant considers the PCT International Search Authority								

If this Information Disclosure Statement has been submitted without the appropriate box checked, Applicant requests that this Information Disclosure Statement be considered nevertheless if it is timely submitted under any of the provisions of 37 C.F.R. §1.97 or otherwise. Finally, if any petition is necessary to ensure consideration of this Information Disclosure Statement, Applicant requests that this be treated as such a petition.

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

Date: November 2, 2003

Lisa R. Lindquist

Registration No.: 43071

6109 Blue Circle Drive, Suite 2000 Minnetonka, MN 55343-9185 Telephone: (952) 563-3000

Facsimile: (952) 563-3001

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PATONIS AND PUBLIC.

LIST OF PANCIUS AND PUBLICATIONS
FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)

EXAMINER

ATTY DOCKET NO.: S63.2B-10814-US01

APPLICANT: Steve Kangas

(Use several sheets if necessary)				FILING	DATE: September 9, 2003	GROUP: No	GROUP: Not Assigned	
REFEI	RENC	E DESIGNATION		U.S.	PATENT AND PUBLISHED	D APPLICATION	N DOCUMENTS	
EXAM'S INIT.	AA 4272620 AB 4565580 AC 4777114		6-09-81 1-14-86 10-11-88		NAME Ichimura et al. Ichimura et al. Ichimura et al.	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE	
						525/61 430/281 430/270		
							·	
	AD	4891300	1-	02-90	Ichimura et al.	430/283		
	AE	5021505	6-	04-91	Ichimura et al.	525/59		
	AF	5576072	11	-19-96	Hostettler et al.	427/532		
	AG	5662960	9-	02-97	Hostettler et al.	427/2.3		
	AH	.5693034	12	2-02-97	Buscemi et al.	604/265		
	ΑI	5849368	12	2-15-98	Hostettler et al.	427/536		
	AJ	5919570	7-	06-99	Hostettler et al.	428/424.8		
	AK	6017577	1-	25-00	Hostettler et al.	427/2.12		
	AL	AL 6030656		29-00	Hostettler et al.	427/2.3		
		F	OR	EIGN PA	ATENT DOCUMENTS			
		DOCUMENT NUMBER	DATE	ATE	COUNTRY			
	BA							
	BB							
	BC							
	BD							
	BE							
		OTHER ART (I	nclu	ding Au	thor, Title, Date, Pertinent P	ages, Ect.)		
	CA							
	СВ							
	СС							

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE CONSIDERED

LIST OF PATENTS AND PUBLICATIONS			ATTY I US01	DOCKET NO.: S63.2B-10814-	APPLICATION NO.: 10/658729				
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		APPLI	APPLICANT: Steve Kangas						
	(Use several sheets if necessary)		FILING	G DATE: September 9, 2003	GROUP: Not Assigned				
	RENC	E DESIGNATION		U.S. PATENT AND PUBLISHED					
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE			
<u> </u>	AA	6040058	3-21-00	Hostettler et al.	428/457				
	AB	6080488	6-27-00	Hostettler et al.	428/423.3				
	AC	6120904	9-19-00	Hostettler et al.	428/423.3				
	AD	6176849	1-23-01	Yang et al.	604/265				
	AE	6265016	7-24-01	Hostettler et al.	427/2.11				
	AF	6331578	12-18-01	Turner et al.	523/105				
	AG	6458867	10-01-02	Wang et al.	523/105				
	AH	65588798	5-06-03	Zhong et al.	428/420				
	ΑI	6589215	7-08-03	Yang et al.	604/265				
	AJ	6610035	8-26-03	Yang et al.	604/265				
	AK								
	AL		,						
		F	OREIGN P.	ATENT DOCUMENTS					
		DOCUMENT NUMBER	DATE	COUNTRY					
	BA								
	BB								
	BC								
	BD								
	BE								
		OTHER ART (In	cluding Au	thor, Title, Date, Pertinent Pa	iges, Ect.)				
	CA	·							
	СВ								
	CC								
EXAMINER D			DATE CO	DATE CONSIDERED					